

# Discrete Mathematics (2009 Spring)

## Relations (Chapter 8, 5 hours)

Chih-Wei Yi

Dept. of Computer Science  
National Chiao Tung University

May 25, 2009

# Binary Relations

## Definition

Let  $A$  and  $B$  be any two sets. A *binary relation*  $R$  from  $A$  to  $B$ , written  $R : A \leftrightarrow B$ , is a subset of  $A \times B$ . The notation  $aRb$  means  $(a, b) \in R$ .

- If  $aRb$ , we may say “ $a$  is related to  $b$  (by relation  $R$ )”, or “ $a$  relates to  $b$  (under relation  $R$ )”.

## Example

$< : \mathbb{N} \leftrightarrow \mathbb{N} \equiv \{(n, m) \mid n < m\}$ .  $a < b$  means  $(a, b) \in <$ .

- A binary relation  $R$  corresponds to a predicate function  $P_R : A \times B \rightarrow \{T, F\}$  defined over the 2 sets  $A$  and  $B$ .

# Examples of Binary Relations

- Let  $A = \{0, 1, 2\}$  and  $B = \{a, b\}$ . Then  $R = \{(0, a), (0, b), (1, a), (2, b)\}$  is a relation from  $A$  to  $B$ . For instance, we have  $0Ra$ ,  $0Rb$ , etc..
  - Can we have visualized expressions of relations?
- Let  $A$  be the set of all cities, and let  $B$  be the set of the 50 states in the USA. Define the relation  $R$  by specifying that  $(a, b)$  belongs to  $R$  if city  $a$  is in state  $b$ . For instance, (Boulder, Colorado), (Bangor, Maine), (Ann Arbor, Michigan), (Middletown, New Jersey), (Middletown, New York), (Cupertino, California), and (Red Bank, New Jersey) are in  $R$ .
- “eats”  $:\equiv \{(a, b) \mid \text{organism } a \text{ eats food } b\}$ .

# Complementary Relations

## Definition

Let  $R : A \leftrightarrow B$  be any binary relation. Then,  $\overline{R} : A \leftrightarrow B$ , the *complement* of  $R$ , is the binary relation defined by

$$\overline{R} \equiv \{(a, b) \mid (a, b) \notin R\} = (A \times B) - R.$$

- Note this is just  $\overline{R}$  if the universe of discourse is  $U = A \times B$ ; thus the name complement.
- The complement of  $\overline{R}$  is  $R$ .

# Inverse Relations

## Definition

Any binary relation  $R : A \leftrightarrow B$  has an *inverse relation*  $R^{-1} : B \leftrightarrow A$ , defined by

$$R^{-1} := \{(b, a) \mid (a, b) \in R\}.$$

## Examples

1  $<^{-1} = \{(b, a) \mid a < b\} = \{(b, a) \mid b > a\} = >$ .

2 If  $R : \text{People} \rightarrow \text{Foods}$  is defined by " $aRb \Leftrightarrow a$  eats  $b$ ", then

$$bR^{-1}a \Leftrightarrow b \text{ is eaten by } a.$$

# Examples

## Example

Let  $A = \{1, 2, 3, 4, 5\}$  and  $R : A \leftrightarrow A \equiv \{(a, b) : a \mid b\}$ . What are  $\bar{R}$  and  $R^{-1}$ ?

## Solution

$$\blacksquare R = \left\{ \begin{array}{l} (1, 1), (1, 2), (1, 3), (1, 4), (1, 5), (2, 2), (2, 4), \\ (3, 3), (4, 4), (5, 5) \end{array} \right\}$$

# Examples

## Example

Let  $A = \{1, 2, 3, 4, 5\}$  and  $R : A \leftrightarrow A \equiv \{(a, b) : a \mid b\}$ . What are  $\bar{R}$  and  $R^{-1}$ ?

## Solution

$$\begin{aligned} \blacksquare R &= \left\{ (1, 1), (1, 2), (1, 3), (1, 4), (1, 5), (2, 2), (2, 4), \right. \\ &\quad \left. (3, 3), (4, 4), (5, 5) \right\} \\ \blacksquare \bar{R} &= \left\{ (2, 1), (2, 3), (2, 5), (3, 1), (3, 2), (3, 4), (3, 5), \right. \\ &\quad (4, 1), (4, 2), (4, 3), (4, 5), (5, 1), (5, 2), (5, 3), \\ &\quad \left. (5, 4) \right\} \end{aligned}$$

# Examples

## Example

Let  $A = \{1, 2, 3, 4, 5\}$  and  $R : A \leftrightarrow A \equiv \{(a, b) : a \mid b\}$ . What are  $\bar{R}$  and  $R^{-1}$ ?

## Solution

- $R = \left\{ \begin{array}{l} (1, 1), (1, 2), (1, 3), (1, 4), (1, 5), (2, 2), (2, 4), \\ (3, 3), (4, 4), (5, 5) \end{array} \right\}$
- $\bar{R} = \left\{ \begin{array}{l} (2, 1), (2, 3), (2, 5), (3, 1), (3, 2), (3, 4), (3, 5), \\ (4, 1), (4, 2), (4, 3), (4, 5), (5, 1), (5, 2), (5, 3), \\ (5, 4) \end{array} \right\}$
- $R^{-1} = \left\{ \begin{array}{l} (1, 1), (2, 1), (3, 1), (4, 1), (5, 1), (2, 2), (4, 2), \\ (3, 3), (4, 4), (5, 5) \end{array} \right\}$



# Combining Relations

- Since relations from  $A$  to  $B$  are subsets of  $A \times B$ , two relations from  $A$  to  $B$  can be combined through set operations.
- Let  $A = \{1, 2, 3\}$  and  $B = \{1, 2, 3, 4\}$ . The relations  $R_1 = \{(1, 1), (2, 2), (3, 3)\}$  and  $R_2 = \{(1, 1), (1, 2), (1, 3), (1, 4)\}$  can be combined to obtain

$$R_1 \cup R_2 = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (3, 3)\},$$

$$R_1 \cap R_2 = \{(1, 1)\},$$

$$R_1 - R_2 = \{(2, 2), (3, 3)\}$$

$$R_2 - R_1 = \{(1, 2), (1, 3), (1, 4)\}.$$

- Quiz: What is  $R_1 \oplus R_2$ ?

# Composite Relations

- Let  $R : A \leftrightarrow B$ , and  $S : B \leftrightarrow C$ . Then the composite  $S \circ R$  of  $R$  and  $S$  is defined as:  $S \circ R = \{(a, c) \mid aRb \wedge bSc\}$ .

**Example 1** Function composition  $f \circ g$  is an example.

**Example 2**  $A = \{1, 2, 3\}$ ,  $B = \{a, b, c, d\}$ ,  $C = \{x, y, z\}$ .

- $R : A \leftrightarrow B$ ,  $R = \{(1, a), (1, b), (2, b), (2, c)\}$ .
- $S : B \leftrightarrow C$ ,  $S = \{(a, x), (a, y), (b, y), (d, z)\}$ .
- $S \circ R = \{(1, x), (1, y), (2, y)\}$ .

# Relations on a Set

## Definition

A (binary) relation from a set  $A$  to itself is called a relation on the set  $A$ .

- E.g., the " $<$ " relation from earlier was defined as a relation on the set  $\mathbb{N}$  of natural numbers.
- The *identity relation*  $I_A$  on a set  $A$  is the set  $\{(a, a) \mid a \in A\}$ .
- Let  $A$  be the set  $\{1, 2, 3, 4\}$ . Which ordered pairs are in the relation  $R = \{(a, b) \mid a \text{ divides } b\}$ ?
- How many relations are there on a set with  $n$  elements?

# Reflexivity

## Definition

A relation  $R$  on  $A$  is *reflexive* if  $\forall a \in A, aRa$ . A relation is *irreflexive* iff its complementary relation is reflexive.

- E.g., the relation  $\geq \equiv \{(a, b) \mid a \geq b\}$  is reflexive.
- E.g.,  $<$  is irreflexive.
  
- "irreflexive"  $\neq$  "not reflexive"!
- "likes" between people is not reflexive, but not irreflexive either. (Not everyone likes themselves, but not everyone dislikes themselves either.)

# Example 7 from Textbook

## Example

Consider the following relations on  $\{1, 2, 3, 4\}$ .

$$R_1 = \{(1, 1), (1, 2), (2, 1), (2, 2), (3, 4), (4, 1), (4, 4)\},$$

$$R_2 = \{(1, 1), (1, 2), (2, 1), (2, 2)\},$$

$$R_3 = \{(1, 1), (1, 2), (1, 4), (2, 1), (2, 2), (3, 3), (4, 1), (4, 4)\},$$

$$R_4 = \{(2, 1), (3, 1), (3, 2), (4, 1), (4, 2), (4, 3)\},$$

$$R_5 = \left\{ \begin{array}{l} (1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 3), (2, 4), (3, 3), \\ (3, 4), (4, 4) \end{array} \right\},$$

$$R_6 = \{(3, 4)\}.$$

Which of these relations are reflexive, irreflexive, and not reflexive?

# Symmetry & Antisymmetry

## Definition

- A binary relation  $R$  on  $A$  is *symmetric* iff  $(a, b) \in R \leftrightarrow (b, a) \in R$ , i.e.  $R = R^{-1}$ .
  - E.g.,  $=$  (equality) is symmetric, and  $<$  is not.
  - "is married to" is symmetric, and "likes" is not.
- A binary relation  $R$  is *antisymmetric* if  $(a, b) \in R \wedge (b, a) \in R \rightarrow a = b$ .
  - E.g.,  $<$  is antisymmetric, and "likes" is not.
- Which relations from Example 7 are symmetric and which are antisymmetric?
- If  $R_1$  is symmetric and  $R_2$  is antisymmetric, is it true that  $R_1 \cap R_2 = \emptyset$ ?

# Transitivity

## Definition

A relation  $R$  is *transitive* iff

$$\forall a, b, c : (a, b) \in R \wedge (b, c) \in R \rightarrow (a, c) \in R.$$

A relation is *intransitive* if it is not transitive.

- E.g., "is an ancestor of" is transitive, and "likes" is intransitive.
- Which of the relations in Example 7 are transitive?
- Is the "divides" relations on the set of positive integers transitive?
- "is within 1 mile of" is ... ?

# The Power of A Relation

## Definition

The  $n$ th power  $R^n$  of a relation  $R$  on a set  $A$  can be defined recursively by

$$\begin{cases} R^0 := I_A; \\ R^{n+1} := R^n \circ R \text{ for all } n \geq 0. \end{cases}$$

The negative powers of  $R$  can also be defined if desired, by  $R^{-n} := (R^{-1})^n$ .



# Whether A Relation Is Transitive Or Not?

## Theorem

*The relation  $R$  on a set  $A$  is transitive if and only if  $R^n \subseteq R$  for all  $n = 1, 2, 3, \dots$ .*

- *Think about what  $(a, b) \in R^k$  means?*
- *How to prove an "if and only if" statement?*
  
- Let  $R = \{(1, 1), (2, 1), (3, 2), (4, 3)\}$ . Find the powers  $R^n$  for  $n = 2, 3, \dots$ .
- Let  $R = \{(1, 2), (1, 3), (2, 2), (2, 3), (4, 3)\}$ . Find the powers  $R^n$  for  $n = 2, 3, \dots$ .

# $n$ -ary Relations

## Definition

An  $n$ -ary relation  $R$  on sets  $A_1, \dots, A_n$ , written  $R : A_1, \dots, A_n$ , is a subset  $R \subseteq A_1 \times \dots \times A_n$ .

- The sets  $A_i$  are called the *domains* of  $R$ .
- The *degree* of  $R$  is  $n$ .
- $R$  is *functional* in domain  $A_j$  if it contains at most one  $n$ -tuple  $(\dots, a_j, \dots)$  for any value  $a_j$  within domain  $A_j$ .

# Relational Databases

- A *relational database* is essentially an  $n$ -ary relation  $R$ .
- A domain  $A_i$  is a *primary key* for the database if the relation  $R$  is functional in  $A_i$ .
- A *composite key* for the database is a set of domains  $\{A_i, A_j, \dots\}$  such that  $R$  contains at most 1  $n$ -tuple  $(\dots, a_i, \dots, a_j, \dots)$  for each composite value  $(a_i, a_j, \dots) \in A_i \times A_j \times \dots$ .

# Selection Operators

- Let  $A$  be any  $n$ -ary domain  $A = A_1 \times \cdots \times A_n$ , and let  $C : A \rightarrow \{T, F\}$  be any condition (predicate) on elements ( $n$ -tuples) of  $A$ .
- Then, the *selection operator*  $s_C$  is the operator that maps any ( $n$ -ary) relation  $R$  on  $A$  to the  $n$ -ary relation of all  $n$ -tuples from  $R$  that satisfy  $C$ .
  - I.e.,  $\forall R \subseteq A$ ,

$$\begin{aligned} s_C(R) &= R \cap \{a \in A \mid s_C(a) = T\} \\ &= \{a \in R \mid s_C(a) = T\}. \end{aligned}$$

## Selection Operator Example

- Suppose we have a domain  
 $A = \textit{StudentName} \times \textit{Standing} \times \textit{SocSecNos}$ .
- Suppose we define a certain condition on  $A$ ,

$$\begin{aligned} & \textit{UpperLevel}(\textit{name}, \textit{standing}, \textit{ssn}) \\ & : \equiv [(\textit{standing} = \textit{junior}) \vee (\textit{standing} = \textit{senior})] \end{aligned}$$

- Then,  $s_{\textit{UpperLevel}}$  is the selection operator that takes any relation  $R$  on  $A$  (database of students) and produces a relation consisting of just the upper-level classes (juniors and seniors).

# Projection Operators

- Let  $A = A_1 \times \cdots \times A_n$  be any  $n$ -ary domain, and let  $\{i_k\} = (i_1, \dots, i_m)$  be a sequence of indices all falling in the range 1 to  $n$ .
  - That is, where  $1 \leq i_k \leq n$  for all  $1 \leq k \leq m$ .
- Then the projection operator on  $n$ -tuples

$$P_{\{i_k\}} : A \rightarrow A_{i_1} \times \cdots \times A_{i_m}$$

is defined by

$$P_{\{i_k\}}(a_1, \dots, a_n) = (a_{i_1}, \dots, a_{i_m}).$$

# Projection Example

- Suppose we have a ternary (3-ary) domain  
 $Cars = Model \times Year \times Color$ . ( $n = 3$ )
- Consider the index sequence  $\{i_k\} = \{1, 3\}$ . ( $m = 2$ )
- Then the projection  $P_{\{i_k\}}$  simply maps each tuple  
 $(a_1, a_2, a_3) = (model, year, color)$  to its image:

$$(a_{i_1}, a_{i_2}) = (a_1, a_3) = (model, color).$$

- This operator can be usefully applied to a whole relation  
 $R \subseteq Cars$  (database of cars) to obtain a list of model/color combinations available.

# Join Operator

- Puts two relations together to form a sort of combined relation.
- If the tuple  $(A, B)$  appears in  $R_1$ , and the tuple  $(B, C)$  appears in  $R_2$ , then the tuple  $(A, B, C)$  appears in the join  $J(R_1, R_2)$ .
- $A, B, C$  can also be sequences of elements rather than single elements.



# Join Example

- Suppose  $R_1$  is a teaching assignment table, relating *Professors* to *Courses*.
- Suppose  $R_2$  is a room assignment table relating *Courses* to *Rooms* and *Times*.
- Then  $J(R_1, R_2)$  is like your class schedule, listing *(professor, course, room, time)*.

# Representing Relations

- Some ways to represent n-ary relations:
  - With an explicit list or table of its tuples.
  - With a function from the domain to  $\{T, F\}$ .
- Some special ways to represent binary relations:
  - With a zero-one matrix.
  - With a directed graph.

# Using Zero-One Matrices

- To represent a relation  $R$  by a matrix  $\mathbf{M}_R = [m_{ij}]$ , let  $m_{ij} = 1$  if  $(a_i, b_j) \in R$ , else 0.
- E.g., Joe likes Susan and Mary, Fred likes Mary, and Mark likes Sally. The 0 – 1 matrix representation of that “Likes” relation:

	Susan	Mary	Sally
Joe	1	1	0
Fred	0	1	0
Mark	0	0	1

# Examples

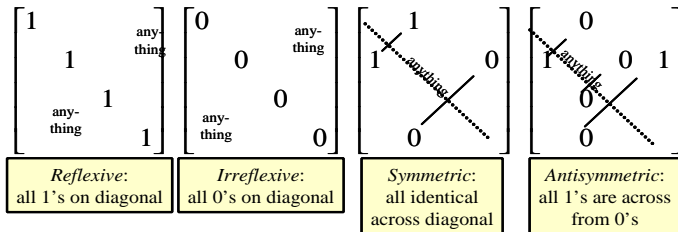
## Example

Let  $S = \{\text{Spring, Summer, Fall, Winter}\}$  and  $F = \{\text{Apple, Berry, Cherry, Durian}\}$ . Which ordered pairs are in the relation  $R$  represented by the matrix?

	Apple	Berry	Cherry	Durian
Spring	1	0	1	0
Summer	0	0	1	1
Fall	0	1	0	0
Winter	1	0	0	0

# Zero-One Reflexive, Symmetric

- Terms: reflexive, non-reflexive<sup>1</sup>, irreflexive, symmetric, asymmetric<sup>2</sup>, and antisymmetric.
- These relation characteristics are very easy to recognize by inspection of the zero-one matrix.



<sup>1</sup>A relation  $R$  on  $A$  is non-reflexive if it is not reflexive.

<sup>2</sup>A relation  $R$  on  $A$  is asymmetric if  $\forall a, b \in A : aRb \rightarrow \overline{bRa}$ .

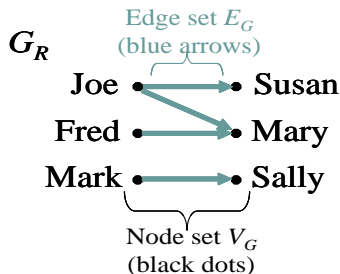
# Matrix Operation v.s. Relation Operations

- $\mathbf{M}_{R_1 \cup R_2} = \mathbf{M}_{R_1} \vee \mathbf{M}_{R_2}$ ;  $\mathbf{M}_{R_1 \cap R_2} = \mathbf{M}_{R_1} \wedge \mathbf{M}_{R_2}$ .
  - $\vee$  and  $\wedge$  are element-wise Boolean operators.
- $\mathbf{M}_{S \circ R} = \mathbf{M}_R \odot \mathbf{M}_S$ ;  $\mathbf{M}_{R^n} = (\mathbf{M}_R)^n$ .
  - $\odot$  denotes Boolean matrix multiplications.
- $\mathbf{M}_{R^{-1}} = (\mathbf{M}_R)^T$ .
- Quiz: If  $R$  is a symmetric relation,  $\mathbf{M}_R$  is a symmetric matrix.

# Using Directed Graphs

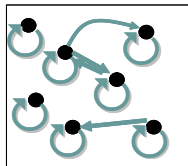
- A directed graph or digraph  $G = (V_G, E_G)$  is a set  $V_G$  of vertices (nodes) with a set  $E_G \subseteq V_G \times V_G$  of edges (*arcs*, *links*). Visually represented using dots for nodes, and arrows for edges. Notice that a relation  $R : A \leftrightarrow B$  can be represented as a graph  $G_R = (V_G = A \cup B, E_G = R)$ .

$\mathbf{M}_R$	Susan	Mary	Sally
Joe	1	1	0
Fred	0	1	0
Mark	0	0	1



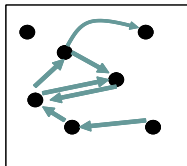
# Digraph Reflexive, Symmetric

- It is extremely easy to recognize the reflexive/irreflexive/symmetric/antisymmetric properties by graph inspection.

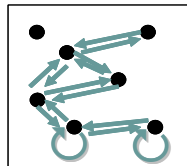


**Reflexive:**  
Every node  
has a self-loop

Asymmetric, non-antisymmetric

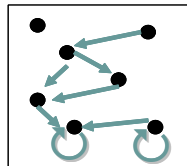


**Irreflexive:**  
No node  
links to itself



**Symmetric:**  
Every link is  
bidirectional

Non-reflexive, non-irreflexive



**Antisymmetric:**  
No link is  
bidirectional



# Closures of Relations

- For any property  $X$ , the “ $X$  closure” of a set (or relation)  $R$  is defined as the “smallest” superset of  $R$  that has the given property.
- The *reflexive closure* of a relation  $R$  on  $A$  is obtained by adding  $(a, a)$  to  $R$  for each  $a \in A$ . I.e., it is  $R \cup I_A$ .
- The *symmetric closure* of  $R$  is obtained by adding  $(b, a)$  to  $R$  for each  $(a, b)$  in  $R$ . I.e., it is  $R \cup R^{-1}$ .
- The *transitive closure* or connectivity relation of  $R$  is obtained by repeatedly adding  $(a, c)$  to  $R$  for each  $(a, b)$  and  $(b, c)$  in  $R$ . I.e., it is

$$R^* = \bigcup_{n \in \mathbb{Z}^+} R^n.$$

# Paths in Digraphs/Binary Relations

## Definition

A *path* of length  $n$  from node  $a$  to  $b$  in the directed graph  $G$  (or the binary relation  $R$ ) is a sequence  $(a, x_1), (x_1, x_2), \dots, (x_{n-1}, b)$  of  $n$  ordered pairs in  $E_G$  (or  $R$ ). A path of length  $n \geq 1$  from  $a$  to  $a$  is called a *circuit* or a *cycle*.

## Theorem

*There exists a path of length  $n$  from  $a$  to  $b$  in  $R$  if and only if  $(a, b) \in R^n$ .*

- An empty sequence of edges is considered a path of length 0 from  $a$  to  $a$ .
- If any path from  $a$  to  $b$  exists, then we say that  $a$  is connected to  $b$ . (“You can get there from here.”)

# Simple Transitive Closure Algorithm

## Lemma

*Let  $A$  be a set with  $n$  element, and let  $R$  be a relation on  $A$ . If there is a path of length at least one in  $R$  from  $a$  to  $b$ , then there is such a path with length not exceeding  $n$ .*

**procedure** *transClosure*( $\mathbf{M}_R$ : rank- $n$  0-1 matrix)

// A procedure computes  $R^*$  with 0-1 matrices.

**A** := **B** :=  $\mathbf{M}_R$ ;

**for**  $i := 2$  **to**  $n$      **begin**

**A** :=  $\mathbf{A} \odot \mathbf{M}_R$ ; **B** :=  $\mathbf{B} \vee \mathbf{A}$ ;

**end**

**return** **B**

- This algorithm takes  $\Theta(n^4)$  time.

# A Faster Transitive Closure Algorithm

**procedure** *transClosure*( $\mathbf{M}_R$ : rank- $n$  0-1 matrix)

$\mathbf{A} := \mathbf{B} := \mathbf{M}_R$ ;

**for**  $i := 2$  **to**  $\lceil \log_2 n \rceil$      **begin**

$\mathbf{A} := \mathbf{A} \odot \mathbf{A}$ ;     //  $\mathbf{A}$  represents  $R^{2^i}$ .

$\mathbf{B} := \mathbf{B} \vee \mathbf{A}$ ;     // “add” into  $\mathbf{B}$ .

**end**

**return**  $\mathbf{B}$

- This algorithm takes only  $\Theta(n^3 \log n)$  time, BUT NOT CORRECT.

# Roy-Warshall Algorithm

**procedure** *Warshall*( $\mathbf{M}_R$ : rank- $n$  0-1 matrix)

$\mathbf{W} := \mathbf{M}_R$ ;

**for**  $k := 1$  **to**  $n$

**for**  $i := 1$  **to**  $n$

**for**  $j := 1$  **to**  $n$

$w_{ij} := w_{ij} \vee (w_{ik} \wedge w_{kj})$

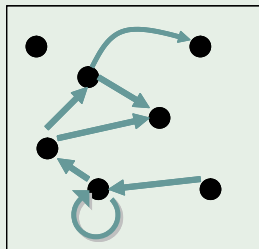
**return**  $\mathbf{W}$      {This represents  $R^*$ .}

- Uses only  $\Theta(n^3)$  operations!
- $w_{ij} = 1$  means there is a path from  $i$  to  $j$  going only through nodes  $\leq k$ .

# Examples

## Example

Find the symmetric closure, reflexive closure, and transitive closure of the following relation.



# Equivalence Relations

## Definition

An *equivalence relation* (e.r.) on a set  $A$  is simply any binary relation on  $A$  that is reflexive, symmetric, and transitive.

- E.g., " $=$ " itself is an equivalence relation.
- For any function  $f : A \rightarrow B$ , the relation “have the same  $f$  value”, or  $=_f \equiv \{(a_1, a_2) \mid f(a_1) = f(a_2)\}$  is an equivalence relation.
  - E.g., let  $m =$  “mother of”, then  $=_m \equiv$  “have the same mother” is an e.r..

# Examples of E.R.'s

## Examples

- “Strings  $a$  and  $b$  are the same length.”



# Examples of E.R.'s

## Examples

- “Strings  $a$  and  $b$  are the same length.”
- “Integers  $a$  and  $b$  have the same absolute value.”

# Examples of E.R.'s

## Examples

- “Strings  $a$  and  $b$  are the same length.”
- “Integers  $a$  and  $b$  have the same absolute value.”
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”

# Examples of E.R.'s

## Examples

- “Strings  $a$  and  $b$  are the same length.”
- “Integers  $a$  and  $b$  have the same absolute value.”
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”
- “Integers  $a$  and  $b$  have the same residue modulo  $m$ .” (for a given  $m > 1$ )

# Equivalence Classes

## Definition

Let  $R$  be any equivalence relation on a set  $A$ . The *equivalence class* of  $a$  is

$$[a]_R := \{b \mid aRb\}. \text{ (optional subscript } R\text{)}$$

- It is the set of all elements of  $A$  that are “equivalent” to  $a$  according to the E.R.  $R$ .
- Each such  $b$  (including  $a$  itself) is called a representative of  $[a]_R$ .

# Equivalence Class Examples

- “Strings  $a$  and  $b$  are the same length.”
  - $[a]$  = the set of all strings of the same length as  $a$ .
- “Integers  $a$  and  $b$  have the same absolute value.”
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”
- “Integers  $a$  and  $b$  have the same residue modulo  $m$ .” (for a given  $m > 1$ )

# Equivalence Class Examples

- “Strings  $a$  and  $b$  are the same length.”
  - $[a]$  = the set of all strings of the same length as  $a$ .
- “Integers  $a$  and  $b$  have the same absolute value.”
  - $[a]$  = the set  $\{a, -a\}$ .
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”
- “Integers  $a$  and  $b$  have the same residue modulo  $m$ .” (for a given  $m > 1$ )

# Equivalence Class Examples

- “Strings  $a$  and  $b$  are the same length.”
  - $[a]$  = the set of all strings of the same length as  $a$ .
- “Integers  $a$  and  $b$  have the same absolute value.”
  - $[a]$  = the set  $\{a, -a\}$ .
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”
  - $[a]$  = the set  $\{\dots, a - 2, a - 1, a, a + 1, a + 2, \dots\}$ .
- “Integers  $a$  and  $b$  have the same residue modulo  $m$ .” (for a given  $m > 1$ )

# Equivalence Class Examples

- “Strings  $a$  and  $b$  are the same length.”
  - $[a]$  = the set of all strings of the same length as  $a$ .
- “Integers  $a$  and  $b$  have the same absolute value.”
  - $[a]$  = the set  $\{a, -a\}$ .
- “Real numbers  $a$  and  $b$  have the same fractional part (i.e.,  $a - b \in \mathbb{Z}$ ).”
  - $[a]$  = the set  $\{\dots, a - 2, a - 1, a, a + 1, a + 2, \dots\}$ .
- “Integers  $a$  and  $b$  have the same residue modulo  $m$ .” (for a given  $m > 1$ )
  - $[a]$  = the set  $\{\dots, a - 2m, a - m, a, a + m, a + 2m, \dots\}$ .



# Partitions

## Definition

A *partition* of a set  $A$  is the set of all the equivalence classes  $\{A_1, A_2, \dots\}$  for some e.r. on  $A$ .

## Example

Let  $m \in \mathbb{Z}^+$ . For any  $a, b \in \mathbb{Z}$ , we define  $aRb$  iff  $m \mid a - b$ . Then,  $R$  is an e.r., and  $\{[0], [1], \dots, [m-1]\}$  is a partition of  $\mathbb{Z}$  for  $R$ .

- The  $A_i$ 's are all disjoint and their union is equal to  $A$ .
- They “partition” the set into pieces. Within each piece, all members of the set are equivalent to each other.

# Partial Orderings

## Definition

A relation  $R$  on a set  $S$  is called a partial ordering or partial order if it is reflexive, antisymmetric, and transitive. A set  $S$  together with a partial ordering  $R$  is called a *partially ordered set*, or *poset*, and is denoted by  $(S, R)$ .

- The “greater than or equal” relation  $\geq$  is a partial ordering on the set of integers.
- The divisibility relation  $|$  is a partial ordering on the set of positive integers.
- The inclusion relation  $\subseteq$  is a partial ordering on the power set of a set  $S$ .

# Total Orderings

## Definition

If  $(S, \preccurlyeq)$  is a poset and every two elements of  $S$  are comparable,  $S$  is called a *totally ordered set* or *linearly ordered set*, and  $\preccurlyeq$  is called a total order or a linear order. A totally ordered set is also called a chain.

- E.g.,  $(\mathbb{N}, \leq)$ .

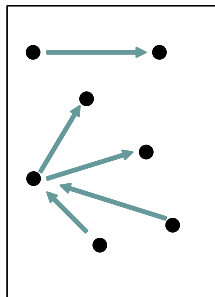
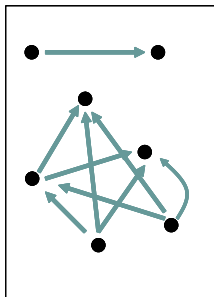
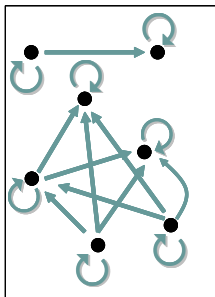
# Lexicographic Order

- $(A_1, \preceq_1)$  and  $(A_2, \preceq_2)$  are posets. For any  $(a_1, a_2), (b_1, b_2) \in A_1 \times A_2$ , we say  $(a_1, a_2) \preceq (b_1, b_2)$  if and only if  $a_1 \preceq_1 b_1$  or both  $a_1 = b_1$  and  $a_2 \preceq_2 b_2$ .
- The lexicographic order of the Cartesian product of posets is a partial order.
  - Please prove this by yourself.

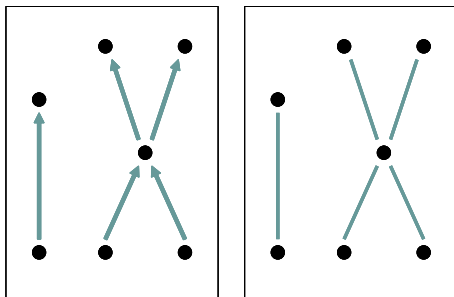
# Hasse Diagrams

- Digraphs for finite posets can be simplified by following ideas.
  - 1 Remove loops at every vertices.
  - 2 Remove edge that must be present because of the transitivity.
  - 3 Arrange each edge so that its initial vertex is below its terminal vertex.
  - 4 Remove all the arrows.
- The simplified diagrams are called *Hasse diagrams*.

# Example of Hasse Diagrams



# Example of Hasse Diagrams (Cont.)



# Maximal and Minimal Elements

## Definition

$a$  is a *maximal* (resp., *minimal*) element in the poset  $(S, \preceq)$  if there is no  $b \in S$  such that  $a \prec b$  (resp.,  $b \prec a$ ).

## Definition

$a$  is the *greatest* (resp., *least*) element of the poset  $(S, \preceq)$  if  $b \preceq a$  (resp.,  $a \preceq b$ ) for all  $b \in S$ .

## Lemma

*Every finite nonempty poset  $(S, \preceq)$  has a minimal element.*



# Maximal and Minimal Elements (Cont.)

## Definition

$A$  is a subset of of a poset  $(S, \preceq)$ .

- $u \in S$  is called an upper bound (resp., lower bound) of  $A$  if  $a \preceq u$  (resp.,  $u \preceq a$ ) for all  $a \in A$ .
- $x \in S$  is called the least upper bound (resp., greatest lower bound) of  $A$  if  $x$  is an upper bound (resp., lower bound) that is less than every other upper bound (resp., lower bound) of  $A$ .

## Definition

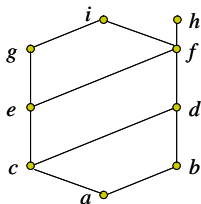
$(S, \preceq)$  is a *well-ordered set* if it is a poset such that  $\preceq$  is a total ordering and every nonempty subset of  $S$  has a least element.

- E.g.,  $(\mathbb{Z}^+, \leq)$  is *well-ordered* but  $(\mathbb{R}, \leq)$  is not.
- There is "well-ordered induction".

# Lattices

## Definition

A partially ordered set in which every pair of elements has both a least upper bound and a greatest lower bound is called a lattice.



## Example

Determine whether the posets  $(\{1, 2, 3, 4, 5\}, |)$  and  $(\{1, 2, 4, 8, 16\}, |)$  are lattices.

# Topological Sorting

- Motivation: A project is made up of 20 different tasks. Some tasks can be completed only after others have been finished. How can an order be found for these tasks?
- Topological sorting: Given a partial ordering  $R$ , find a total ordering  $\preceq$  such that  $a \preceq b$  whenever  $aRb$ .  $\preceq$  is said compatible with  $R$ .

# Topological Sorting for Finite Posets

**procedure** *topological\_sort*( $S$ : finite poset)

$k := 1$

**while**  $S \neq \emptyset$

**begin**

$a_k :=$  a minimal element of  $S$

$S := S - \{a_k\}$

$k := k + 1$

**end**  $\{a_1, a_2, \dots, a_n$  is a compatible total ordering of  $S\}$